

The inclusive city of the Global South

Seeking for new planning and design paradigms for
urbanisation in weak institutional environments

Presentation prepared by Roberto Rocco , TU Delft
r.c.rocco@tudelft.nl



Department of Urbanism

Research Theme #5

International Planning and Developing Regions



Dominic Stead



Roberto Rocco

Other partners

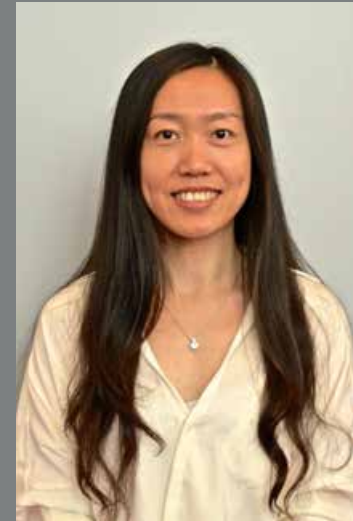
- *IHS (International Institute of Urban Management of the Erasmus University)
- *TU Eindhoven
- *CEDLA (Centre for Latin American Documentation and Research of the UvA)
- *Delft Global Initiative
- *A is for AFRICA initiative
- *UN-Habitat
- *SEVERAL UNIVERSITIES AROUND THE WORLD (Politecnico, TU Vienna, NCKU, SCUT, University of Sao Paulo, EiABC)

The **Sustainable Development Goals (SDGs)**, officially known as **Transforming our world: the 2030 Agenda for Sustainable Development** is a set of 17 "Global Goals" with 169 targets between them. Spearheaded by the [United Nations](#) through a deliberative process involving its 193 Member States, as well as global civil society, the goals are contained in paragraph 54 United Nations Resolution A/RES/70/1 of 25 September 2015



11 SUSTAINABLE CITIES AND COMMUNITIES





Many people work with developing countries OR comparative studies in the department and in the faculty albeit not always in the chair of Spatial Planning and Strategy

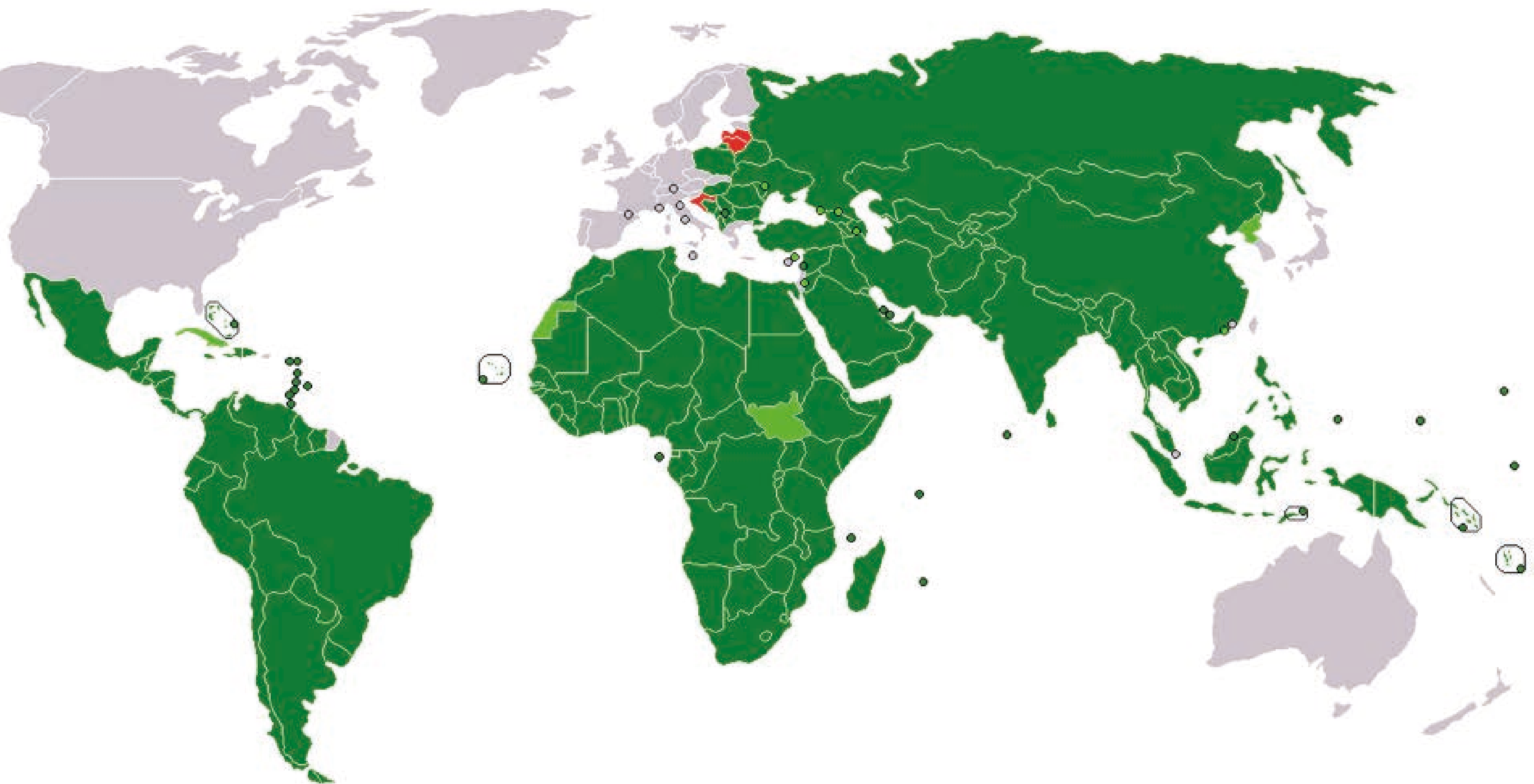
Three concepts

- * GOVERNANCE
- * SUSTANABILITY
- * SPATIAL JUSTICE

One big framework

SPATIAL PLANNING & STRATEGY

The 'Global South'



What makes the GS different?

1. PACE OF GROWTH
2. SCALE OF CHANGE
3. WEAK INSTITUTIONAL ENVIRONMENTS
4. UNBALANCED GOVERNANCE ARRANGEMENTS and WEAK RULE OF LAW
5. RIGHTS DEFICIT
6. ECONOMIC INEQUALITY (INEQUALITY OF ACCESS)
7. LARGE VULNERABILITY TO ENVIRONMENTAL HAZARDS
8. STRONG PRESENCE OF INFORMAL URBANISATION PROCESSES
9. STRONG ROLE OF INFORMAL INSTITUTIONS (OSTROM)



Urgency

in 2013, approximately 850 million people (one third of urban dwellers worldwide) lived in informal settlements in conditions of serious deprivation, including lack of access to sanitation, fresh water, healthy living space, and public services.



Urgency

Meanwhile, millions of new migrants are added daily to this pool for various reasons.

Urgency

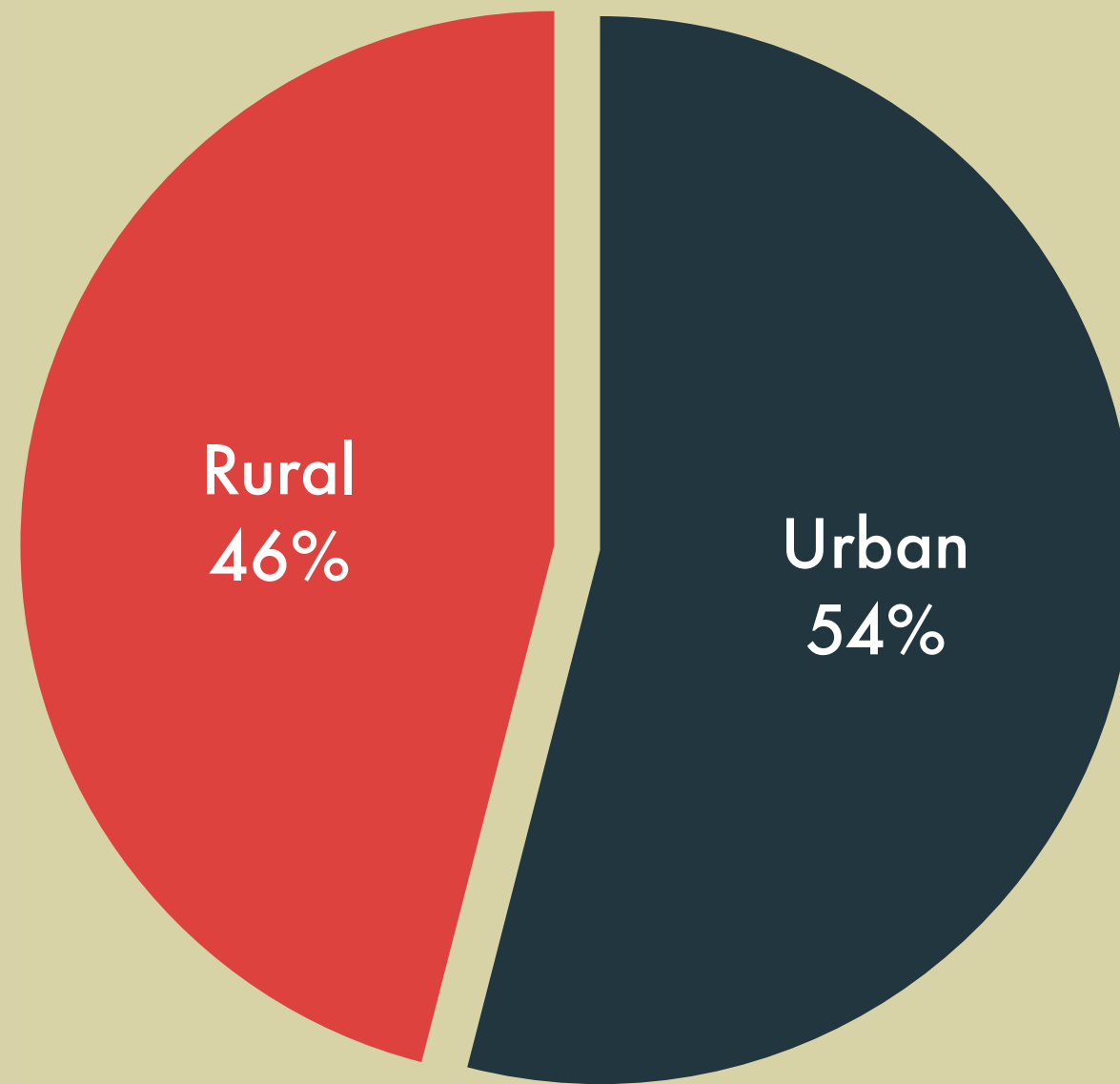
New comers often “self-help”, settle in land illegally or irregularly, their legal and political status is precarious. (UN-Habitat)



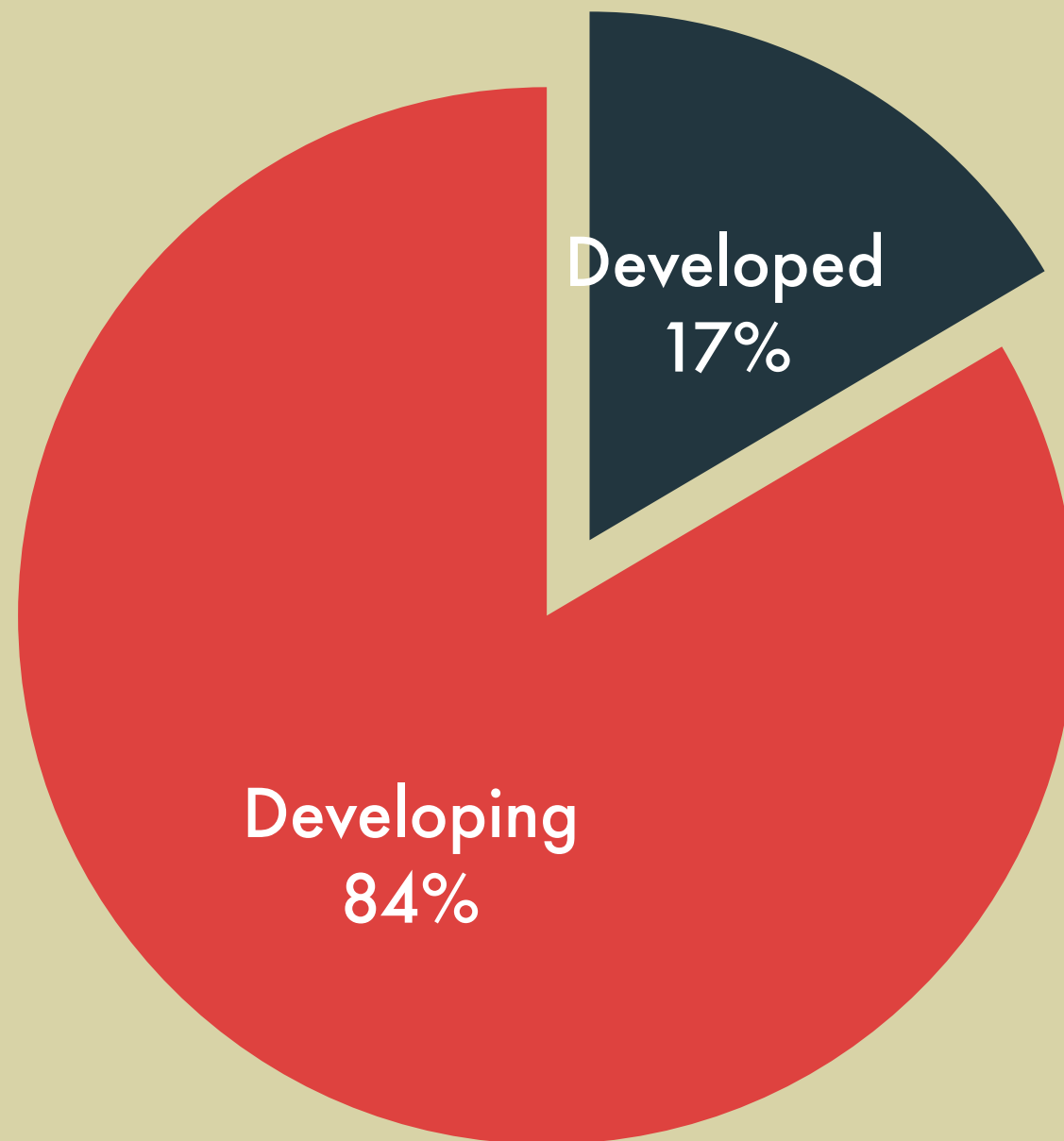
World Population

7,3 billion

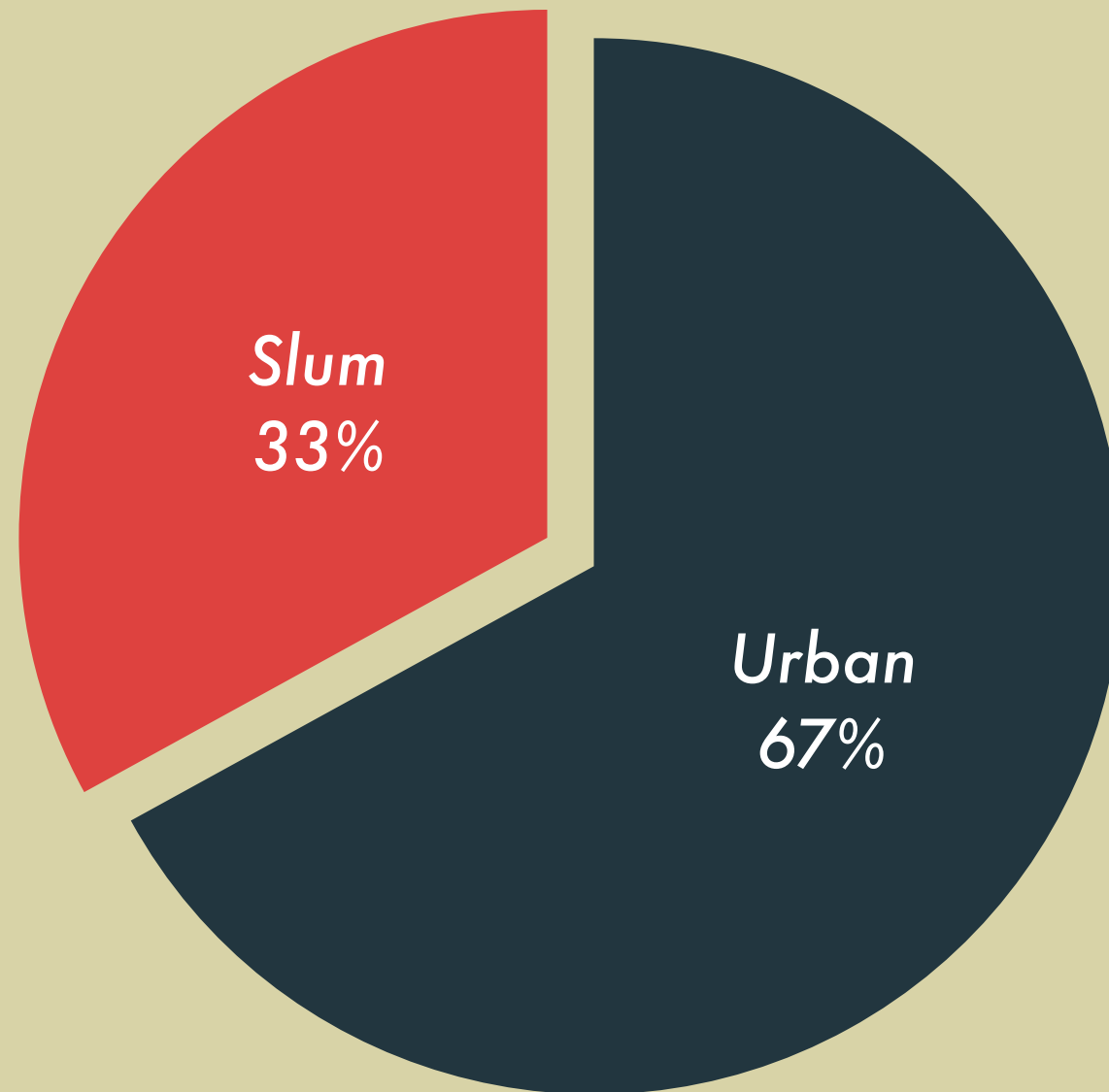
People living in cities



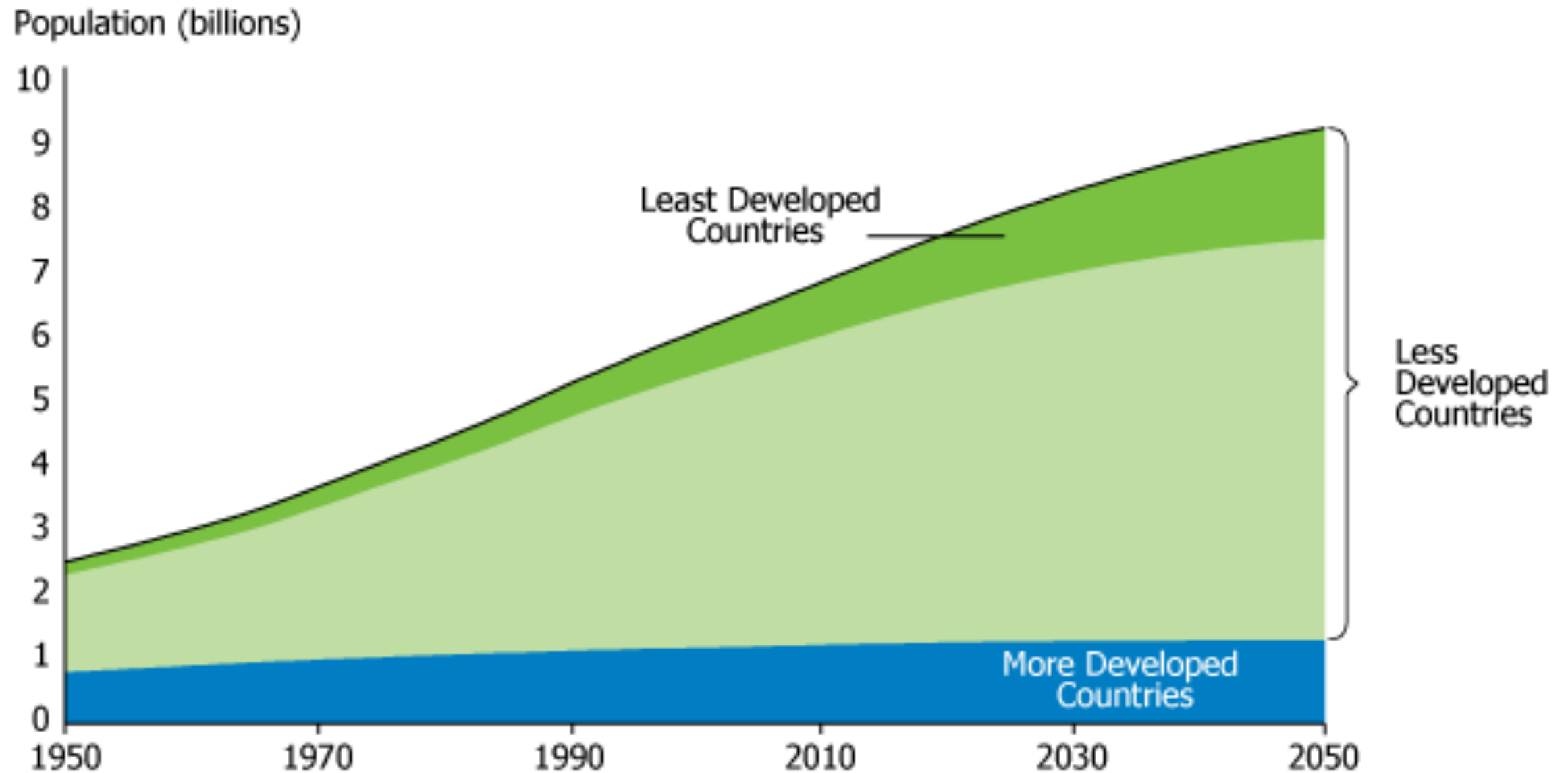
People living in developing nations



+900 million people in slums (UN Habitat)



Foreseen population growth



Source: United Nations Population Division, World Population Prospects: The 2010 Revision, medium variant

Spain X Tanzania

	Tanzania	Spain
Population (2012)	48 million	46 million
Projected Population (2050)	138 million	48 million
Lifetime Births per Woman	5.4	1.4
Annual Births	1.9 million	483,000
Percent of Population Below Age 15	45%	15%
Percent of Population Ages 65+	3%	17%
Percent of Population Ages 65+ (2050)	4%	33%
Life Expectancy at Birth	57 years	82 years
Infant Mortality Rate (per 1,000 live births)	51	3.2
Annual Number of Infant Deaths	98,000	1,600
Percent of Adults Ages 15-49 With HIV/AIDS	5.6%	0.4%

Even though Tanzania and Spain have almost the same population size today, Tanzania is projected to more than double its population from 48 million to 138 million in 2050. Spain's population will only slightly increase, from 46 million today to 48 million by 2050. The cause of this enormous difference is lifetime births per woman. Tanzania's total fertility rate of 5.4 children per woman is almost four times greater than Spain's rate of 1.4.

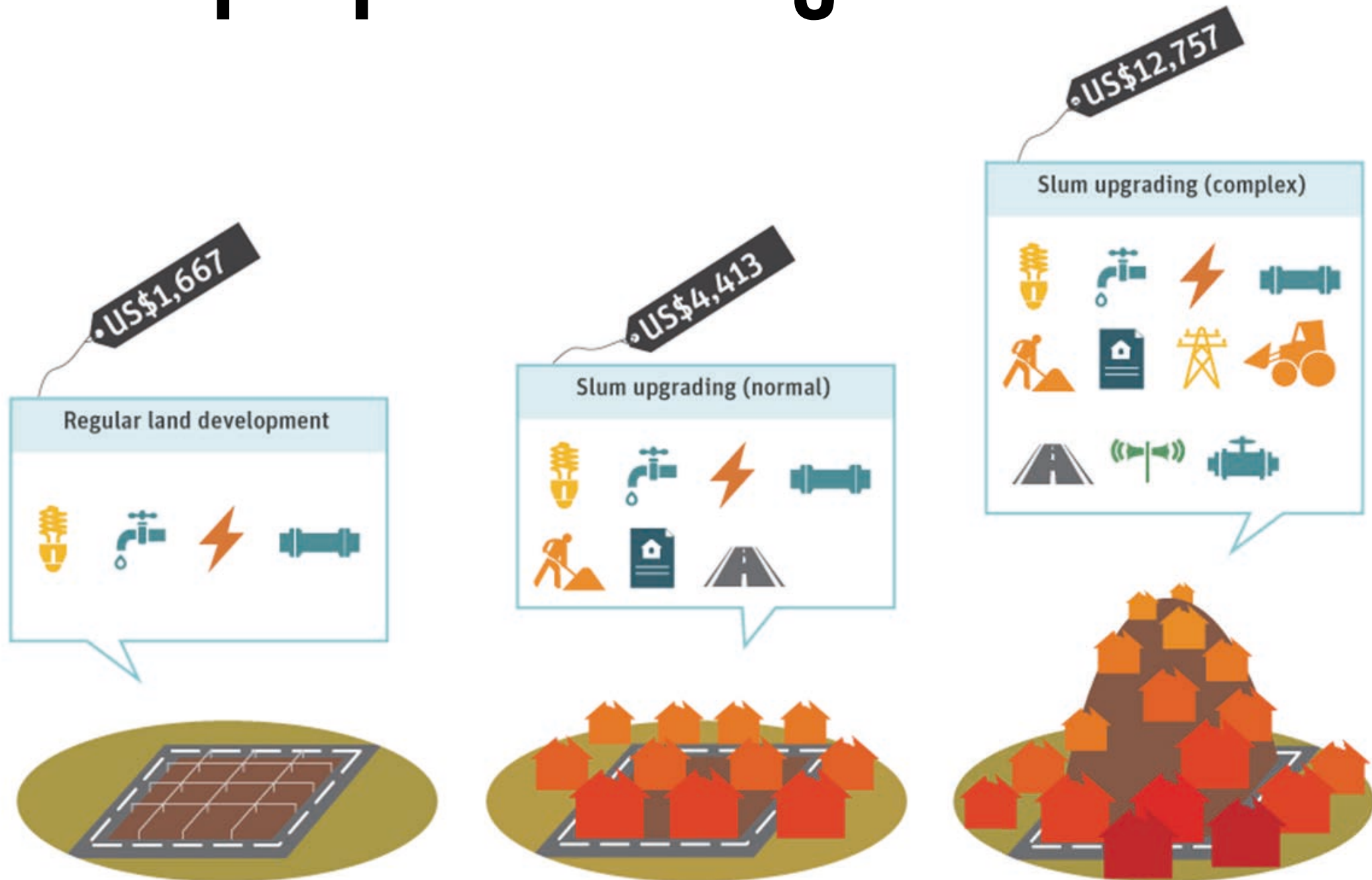
Carl Haub is a senior demographer at the Population Reference Bureau, and co-author of PRB's *2012 World Population Data Sheet*.

The way urbanisation is happening in developing nations is socially, economically and environmentally unsustainable



Photo Roberto Rocco

It is cheaper to prevent slums and provide proper housing than to fix one



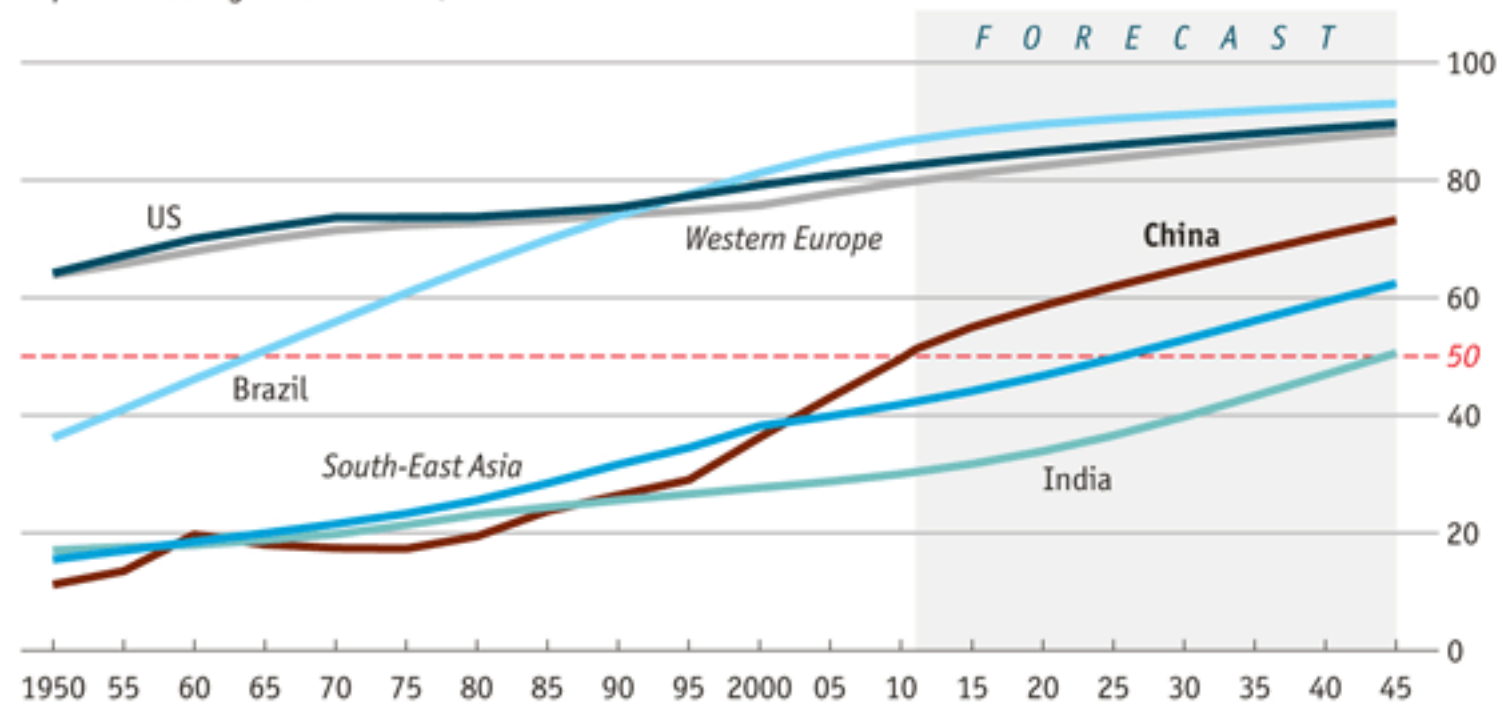
Note: cost in U.S. dollars per household.

It is unstoppable



Urbanisation

Population living in urban areas, % of total



Sources: CEIC; UN Population Division; *The Economist*

Why do people move to cities?

“Stadluft macht frei”

Pull factors

- increased life chances (education/jobs/opportunities)
- increased living and health standards
- modern lifestyles/consumption
- culture and freedom

Push factors

- lower life chances (lack of opportunities)
- extremely low **incomes**
- mechanisation of agriculture/lack of incentives for small producers
- oppressive traditional practices/oppressive lifestyles

It is unsustainable*

**(socially economically and
environmentally)**

Sao Paulo political dimension of sustainability



Image © 2014 CNES / Astrium
Image © 2014 DigitalGlobe

Imagery Date: 4/29/2014 23°45'04.97" S 46°36'17.08" W elev 779 m

Goog



Sao Paulo political dimension of sustainability



People wait in line to fill containers with drinking water supplied by a mobile water vehicle in a slum area in Hyderabad, India. Photograph: AFP/Getty Images

Sustainable urbanisation is key to successful development

(...) a successful urban planning agenda will require that attention be given to urban settlements of all sizes. If well managed, cities offer important opportunities for economic development and for expanding access to basic services, including health care and education, for large numbers of people. Providing public transportation, as well as housing, electricity, water and sanitation for a densely settled urban population is typically cheaper and less environmentally damaging than providing a similar level of services to a dispersed rural population. Source: <http://www.un.org/en/development/desa/news/population/world-urbanization-prospects-2014.html>

The obstacles



OPPRESSION

CORRUPTION

**CLIMATE
CHANGE**

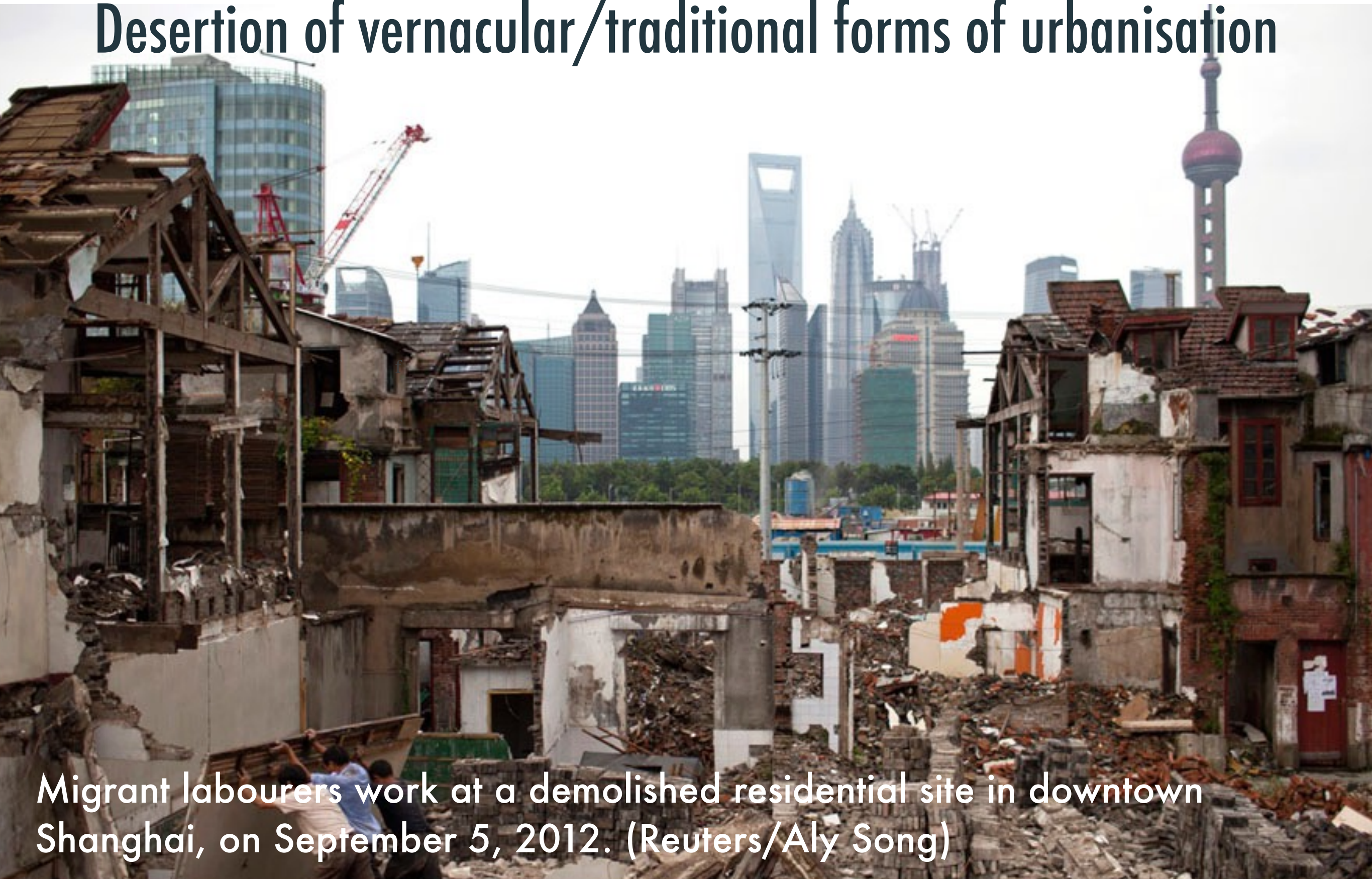
INEQUALITY

CRISIS

CONFLICT

Development > Modernisation > Westernisation

Desertion of vernacular/traditional forms of urbanisation



Migrant labourers work at a demolished residential site in downtown Shanghai, on September 5, 2012. (Reuters/Aly Song)

http://www.amnesty.nl/sites/default/files/public/rap_kenia_the_unseen_majority.pdf and <http://www.kibera.org.uk/facts-info/>

Nairobi 60% slum dwellers

A family sits in front of their makeshift home in Kibera, Kenya's largest slum and home to more than 1 million people, 4 May 2005. Credit: EPA/STEPHEN MORRISON



Mumbai:
54% to 65% slums dwellers

<http://infochangeindia.org/poverty/news/54-of-mumbai-lives-in-slums-world-bank.htm>

Sao Paulo: 6% to 10% slum dwellers 25% in informal urbanisation



Main challenges

Urbanisation in the context of rapid growing economies



Housing, liveability and sustainability in rapidly growing cities

TIN FU COURT [CC BY-SA 2.5](#) VIEW TERMS
BAYCREST - [OWN WORK](#)

Land management: use, regulation, and taxation of land: URBAN REFORM



resilience to climate change in developing contexts



[HTTP://WWW.GETINTRAVEL.COM/VISIT-MEKONG-DELTA-VIETNAM-THE-NINE-Dragons/](http://www.getintravel.com/visit-mekong-delta-vietnam-the-nine-dragons/)

resources management at metropolitan and regional level



sustainable infrastructures in the context of development





Sao Paulo

Environmental sustainability X informal urbanisation

Mexico

Housing X poor planning & design capabilities



<http://photography.nationalgeographic.com/photography/photo-housing-development-mexico/>

Mumbai



Photo by Rohan Varma

**How can planning and design explore
new paradigms that deal with
informal rules and customs?**

**How can we deliver realistic
solutions?**

Crucial theoretical questions

1. Why is “informal urbanisation” a problem?
How useful is this term? (in contexts where informality has become the norm, for example)
2. Why should **GOVERNMENTS ACT** and not leave urban development to take care of itself?

Crucial theoretical questions

4. Where does planning meet informal urbanisation? Do we need a new paradigm of planning to deal with mushrooming growth in weak institutional environments?

5. How does informal urbanisation contribute to the political emancipation of the urban poor? And for the building of civil rights? (how is informal urbanisation embedded in local politics?)

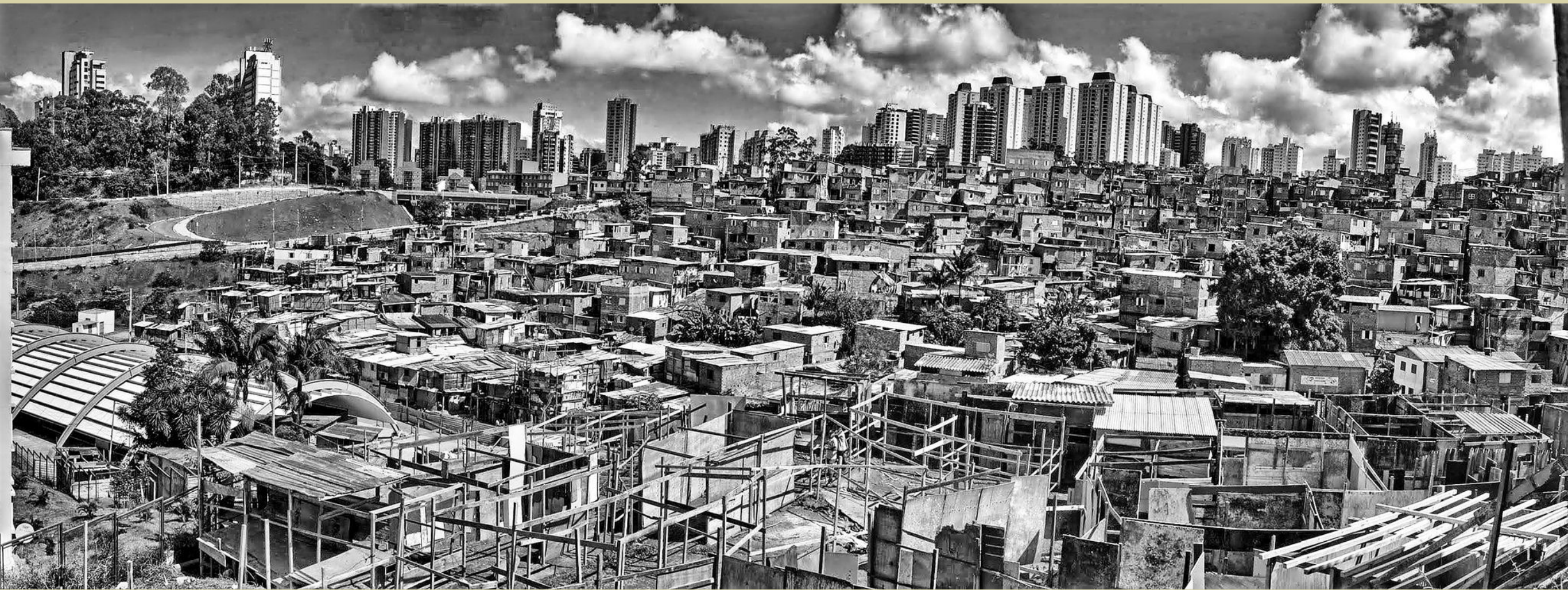
Crucial theoretical questions

6. What is the meaning and the role of informal urbanisation for the general processes of urbanisation?

By following this cluster you will be able to:

- **DESIGN** a strategic **PLAN**, accompanied by/
based on the sustainable design of the physical environment
- **EXPERIENCE** a dynamic interaction with different stakeholders in a developing context and to incorporate their views in your plan and design.
- **USE** advanced and innovative planning tools to achieve sustainable and fair urban development
- **DEVELOP** both practical (planning and design) and theoretical skills (research and writing)

Paraisopolis



<https://youtu.be/dwelAFdvs3A>

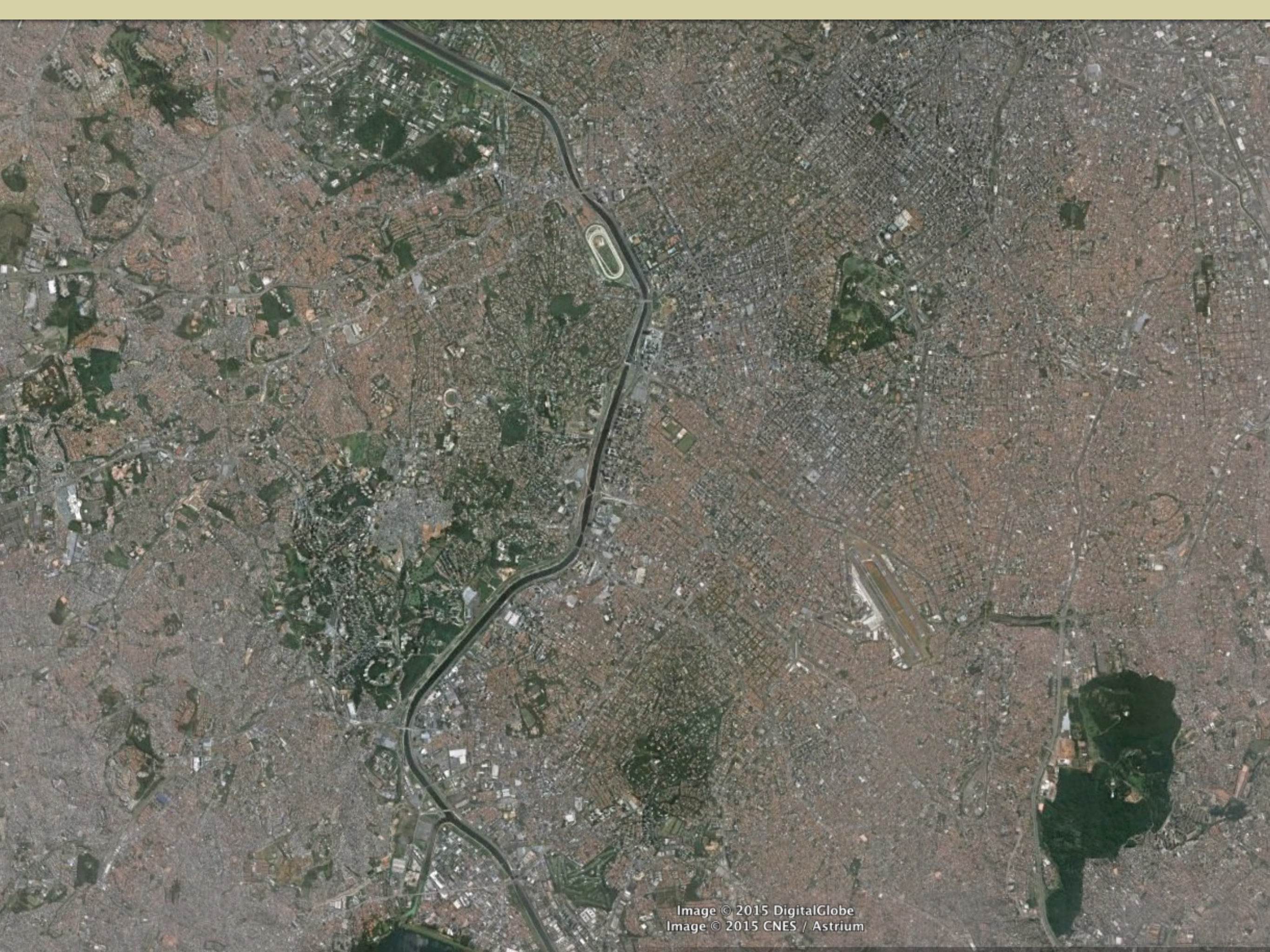


Paraisópolis

Image © 2015 DigitalGlobe
Image © 2015 CNES / Astrium

Imagery Date: 8/3/2015 23°36'56.77" S 46°34'55.75" W elev 743 m

Goog





Paraisópolis

Google

Imagery Date: 12/15/2008 23°37'06.15" S 46°43'28.21" W elev 792 m

2005



Paraisopolis



2005

Imagery Date: 12/15/2008 23°37'03.92" S 46°43'27.1



Google

Imagery Date: 12/15/2008 23°36'59.42" S 46°43'35.36" W elev 804 m eye s

















DROGARIA SULAVI
ACEITAMOS

CONFERA NOVA LINHA DE MEDICAMENTOS POPULAR COM OS MELHORES PREÇOS DA REGIÃO!

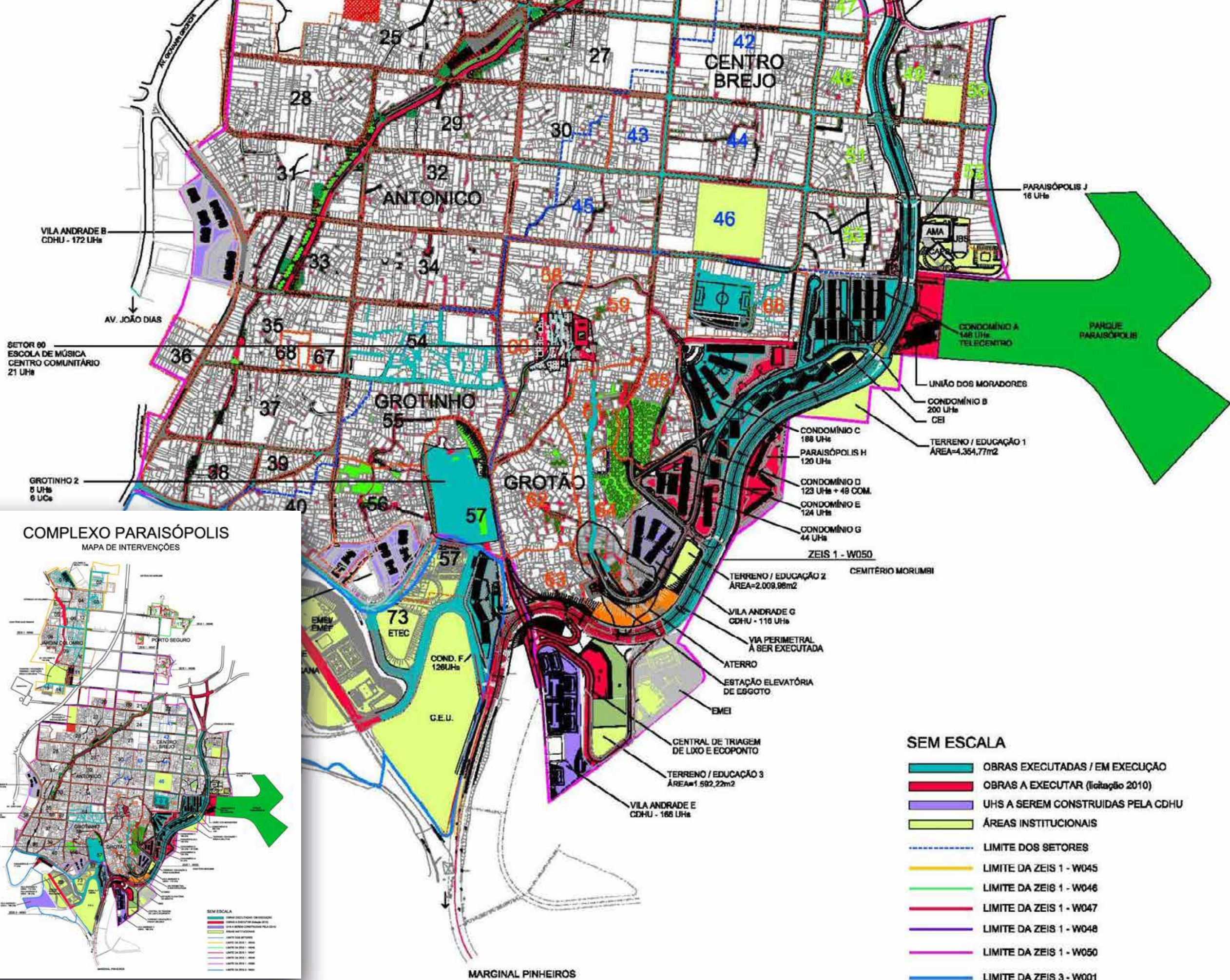
Medicamentos
Com 10% a 70%
de Desconto

458

Legal







TUDELFT

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SUSTAINABLE TECHNOLOGY

LANDSCAPE

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TECNICO

**(PARTICIPATION AND
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URBZ AND URBANOLOGY

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PARAISOPOLIS:

ADRIANA BARROS

DEPARTMENT OF

HOUSING CITY OF SAO

PAULO (SEHAB):

HIGOR CARVALHO

**UNIVERSITY
OF SAO PAULO**

PROFESSOR ALEXANDRE DELIJACOV

PROFESSOR FABIO M. GONCALVES

PROFESSOR PAULA SANTORO

(THE EVICTIONS OBSERVATORY)

PLANNING DEPARTMENT OF

THE CITY OF SAO PAULO

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Fans

EU AMO PARAISÓPOLIS: Exigimos a retomada das obras de urbanização, do monotrilho e do hospital



Joildo Santos



14/04/2015

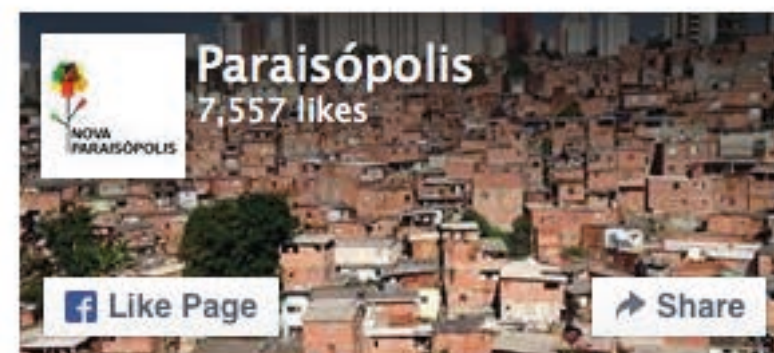
Exigimos a retomada das obras de urbanização, do monotrilho e do hospital

[Read more »](#)

NOS DEPOIS, OBRAS
DAS E FALTA DE D
M A COMUNIDADE

<http://paraisopolis.org>

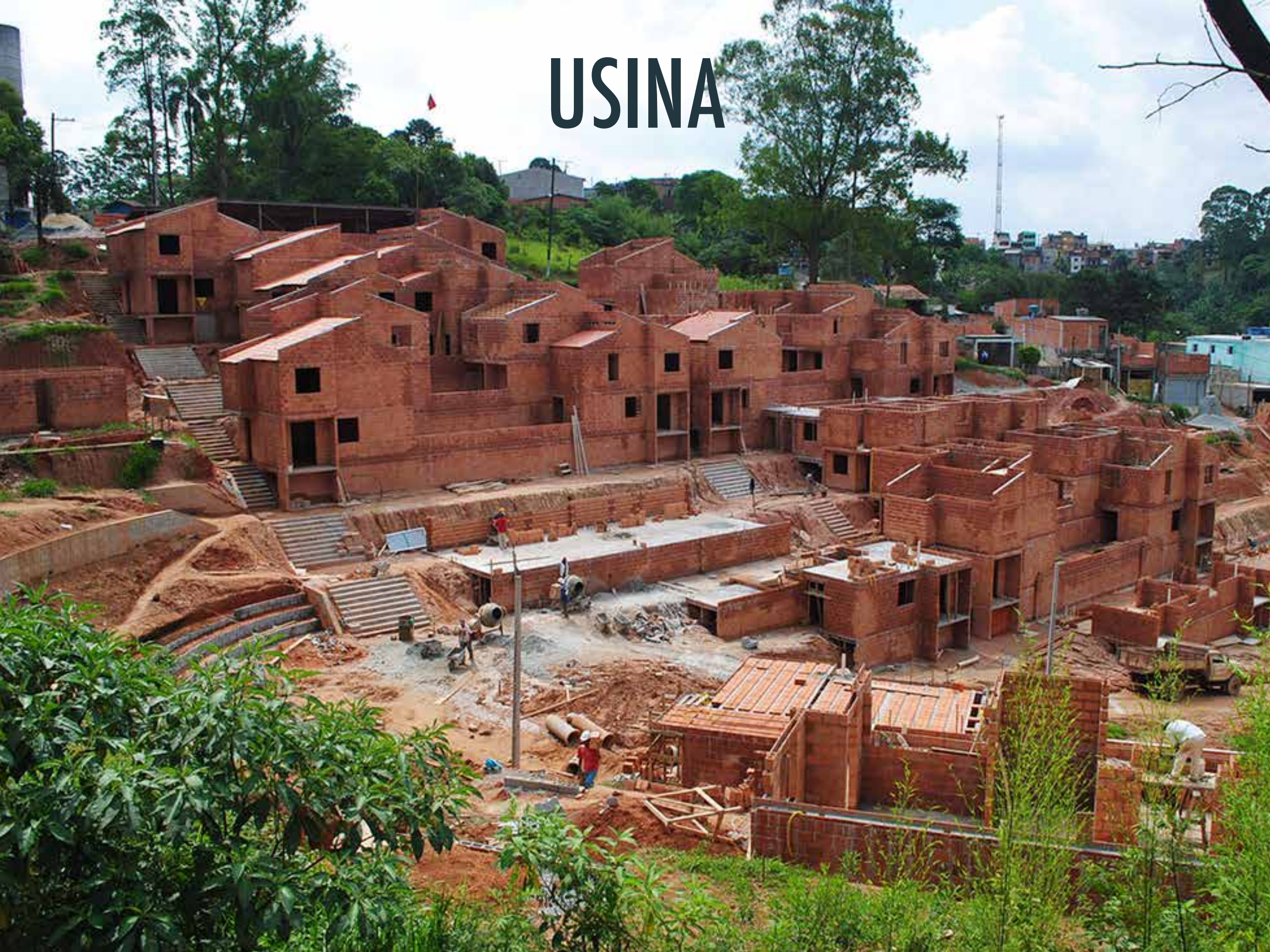
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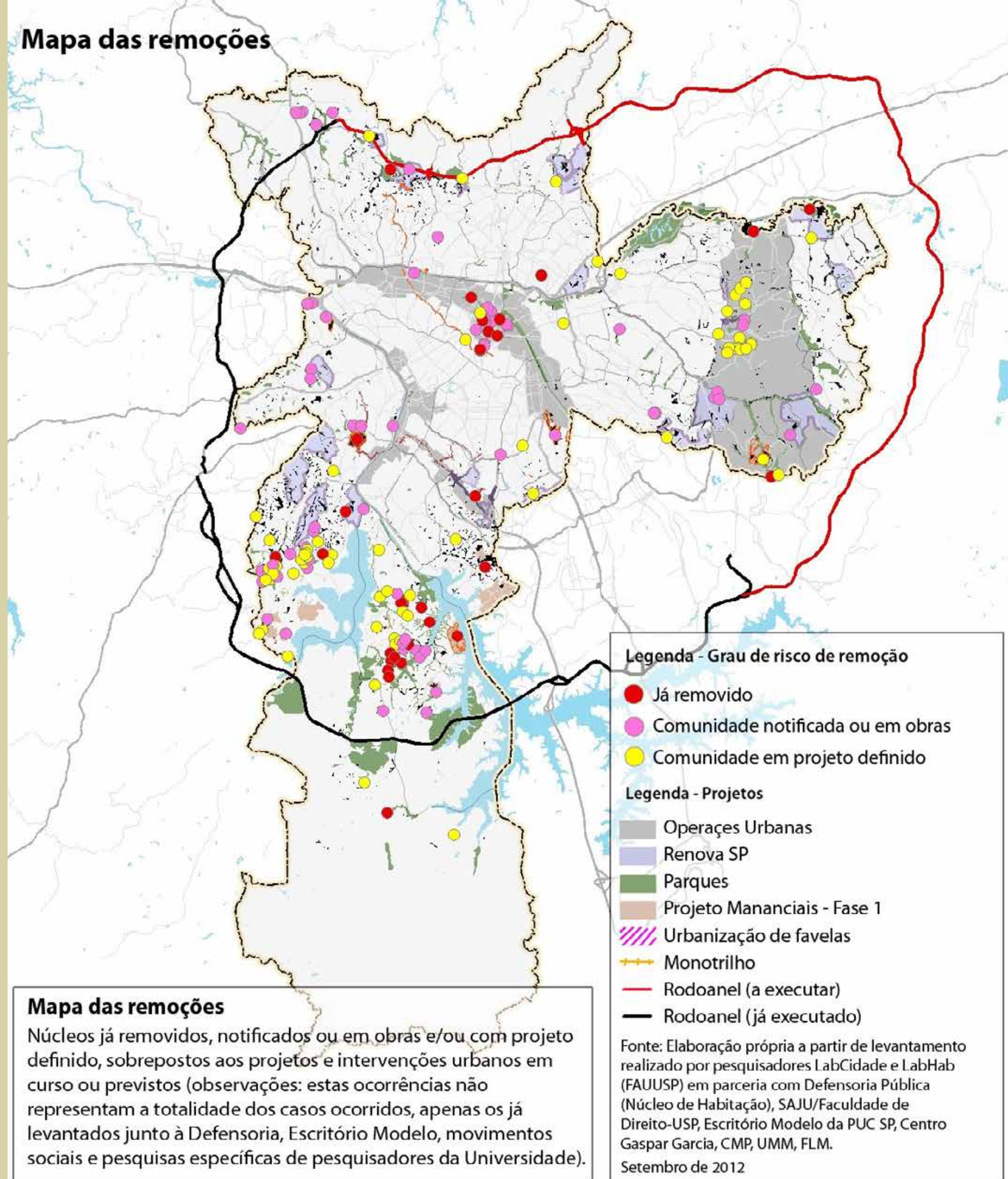
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to [@findetierra](#) how do we rethink the
'edge'? <https://t.co/v1032LoqMz>

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urbz workshop on gradual
urban dev. Geneva -Sept 21-25, 2015
<http://t.co/lMHWiOa4Z3>
[#BreakingGroundGeneva](#) Register!
<http://t.co/Z8nZJlOhMp>

PARAISOPOLIS INSTITUTE OF URBANOLOGY

Posted on Friday, March 2, 2012 by matias



(in)formality wanted!!

Exploring the close connection between formal and informal social, economic and spatial structures in urban settlements in developing countries.

WEDNESDAY 19 MARCH 2014

What: 14:00h-18.00h international guest lectures & debate
18:00h-19:30h drinks & discussion

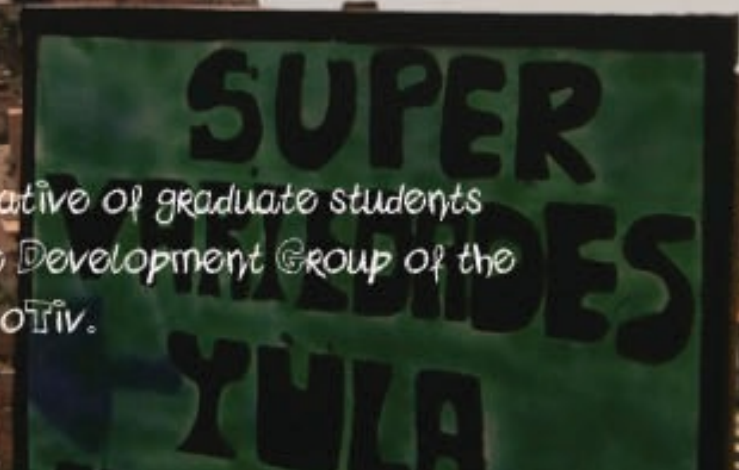
Where: Berlagezaal, Faculty of Architecture, Delft University of Technology

Who: Camillo Boano – UC London, The Bartlett
Marco Ferrario & Rakhi Mehra – Micro Home Solutions

With: Alexander Vollebregt – TU Delft (introduction)
Roberto Rocco – TU Delft (moderator)

Please save the date.

A full program will be announced soon. The symposium is an initiative of graduate students of the ExploreLab and supported by the Faculty of Architecture, the Development Group of the Chair of Spatial Planning and Strategy and MoTiv.



The Confronting Informality Symposium is a student led event sponsored by the Chair of Spatial Planning & Strategy at the TU Delft University of Technology and is a result of the faculty's and its students' awareness of the impacts of informal urbanisation in issues of social, economic and environmental sustainability of cities today.

According to the United Nations 1.5 billion people are in informal or precarious employment (UNDP, 2014) and fifty-five million slum units have been created worldwide since the year of 2000 (UN-Habitat, 2015), mostly in the Global South.

The goal of the Confronting Informality Symposium 2016 is to shed light on informal urbanisation, to understand its origins, drivers and impacts. Our aim is to establish a platform to debate informality and its correlations to spatial planning and urban design. The symposium will highlight the complexity of informal urbanisation addressing its causes, consequences and adopted mitigation actions.

Speakers from diverse backgrounds will share their understandings on the theme as well as their experiences at tackling the informality matter from different perspectives.

SYMPOSIUM CONFRONTING INFORMALITY

Informal Urbanisation: Understanding and Mitigating a Global Phenomenon

POSTER EXHIBITION

March 14th - 18th, 2016

08:00 - 22:00

TU Delft, Faculty of Architecture

SYMPOSIUM

Thursday, March 17th, 2016

09:30 - 17:00

TU Delft, Faculty of Architecture
Berlagezaal

WWW.CONFRONTINGINFORMALITY.WORDPRESS.COM

[https://
confrontinginformality.wordpress.com](https://confrontinginformality.wordpress.com)

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EDUCATION FOR THE CITY WE NEED

Exploring how to integrate the UN-Habitat
NEW URBAN AGENDA in higher education curricula

Urban Thinkers Campuses are sponsored by the World Urban Campaign and UN-HABITAT. The TU Delft UTC wishes to gather stakeholders from the academic and educational worlds, as well as NGOs, companies and government agencies, to discuss how best to TEACH and LEARN issues related to and stemming from the New Urban Agenda in higher education.

Our main question is:

How to prepare young professionals to understand and implement the New Urban Agenda in very diverse national and local environments?

This is an initiative from TU Delft in partnership with TU-Eindhoven, IHS-Erasmus Rotterdam, supported by TU Delft Global Initiative, Arcadis and others.



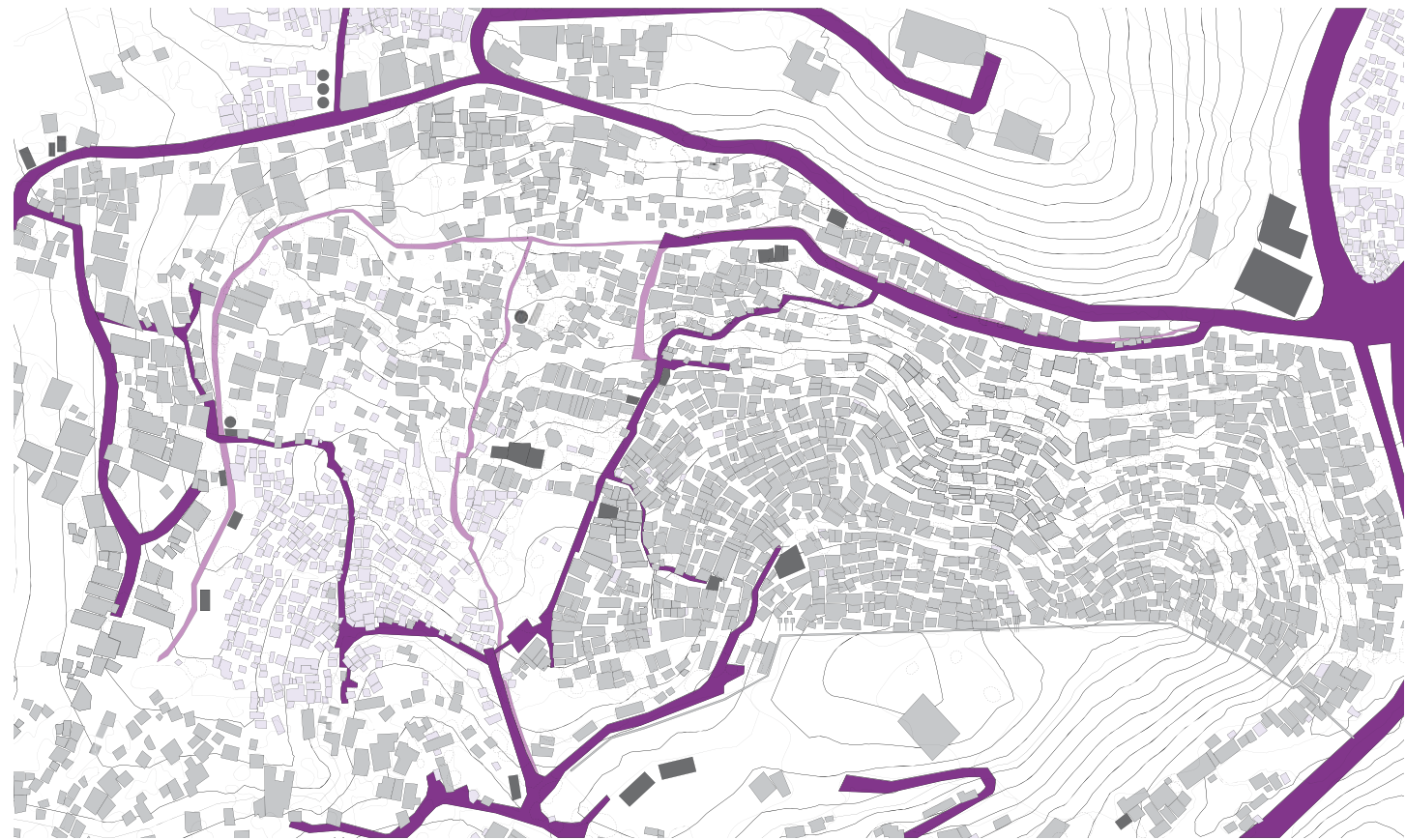
TU Delft

Global Initiative

utctudelft.wordpress.com

Projects

- Identity in Post-Disaster Re-Development: Example of integration of planning measures into relief efforts in informal urbanisation in Haiti
- Master thesis
- Author: Pocornie, W.
- Mentors: A. van Timmeren
- R.Rocco



Projects

- **Integrating the informal:
Developing an integrative
strategy for slum upgrading
in Buenos Aires**
- **Master thesis**
- **Author: Van den
Berg, H.J.**
- **Firt mentor: Diego
Sepulveda**

INTEGRATING THE INFORMAL

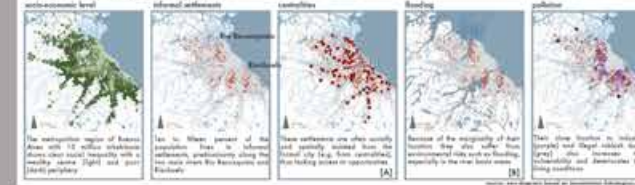
DEVELOPING AN INTEGRATIVE STRATEGY

FOR SLUM UPGRADING IN BUENOS AIRES

Hanne van den Berg | #403272 | Complex Cities Studio | Department of Urbanism | TU Delft

key words: informal settlements | upgrading approaches | marginalisation | regional strategic proposal | local needs | integration

Context Analysis

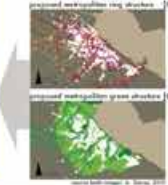


Hypothesis

- integration into the formal city
- environmental interventions [B]
- larger urban developments and metropolitan dynamics [C]

Conceiving local, regional and metropolitan scale & considering physical and socio-economic interventions

2050 Plan

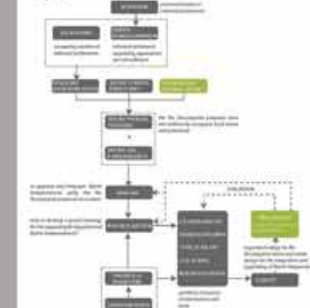


Summary

The need for an integrative strategy to slum upgrading

According to United Nations estimates, nearly one billion people over the world now live in informal settlements (UNDESA, 2010), which is one-third of the total urban population. However, as stated in the UN Millennium Development Goals (MDG), the urban population is expected to grow considerably, are failing to keep pace with the growing ranks of the urban poor. In Greater Buenos Aires, the metropolitan region of Buenos Aires and the context of my graduation project, an estimated 10 to 15% of the total population lives in so-called *slums* or informal settlements. These settlements are located in the periphery of the city, such as in flood plains or near landfill sites. They are often both socially and spatially isolated from the formal city and are generally excluded from the urban decision-making process. In order to structurally address the problems of the urban poor, the upgrading of informal settlements as a guiding initiative should therefore be considered as a wider framework of integration into the formal city, environmental interventions and larger urban processes.

Approach



Problem statement

The Rio Reconquista project provides an interesting and promising framework for the upgrading and integration of informal settlements located in its basin. However, the current Rio Reconquista proposal does not sufficiently recognize local needs and potentials, and is therefore not yet able to act as an appropriate carrier for this upgrading and integration.

Research questions

1. What planning instruments are needed to allow top-down regional proposals to work with local initiatives in marginalized informal areas in order to improve the spatial conditions and integration of these areas into the city?
2. What functional programme and collective spatial configuration meets the different demands of the stakeholders on the level of the federal government, the municipality and the local population?
3. What urban design recognizes local spatial qualities and potentials and uses these to activate the upgrading and integration of the informal settlement?

Vision

Potential framework: Rio Reconquista proposal

The framework chosen for this project is the Río Reconquista proposal by the provincial government of Buenos Aires for the improvement of the basin of this river, which includes environmental interventions, infrastructure improvements and urban development including informal settlement upgrading.



1. planning instruments MY PROPOSAL 2. physical interventions


Project location: Barrio Independencia area

The informal settlement used as a specific site to test out the potential of the Rio Reconquista proposal to act as a carrier for upgrading and integration of informal settlements is Barrio Independencia. This settlement, of 5,000-8,000 inhabitants, is located in the municipality of San Martín in Greater Buenos Aires and suffers from a number of problems including flooding, pollution and a lack of public space.

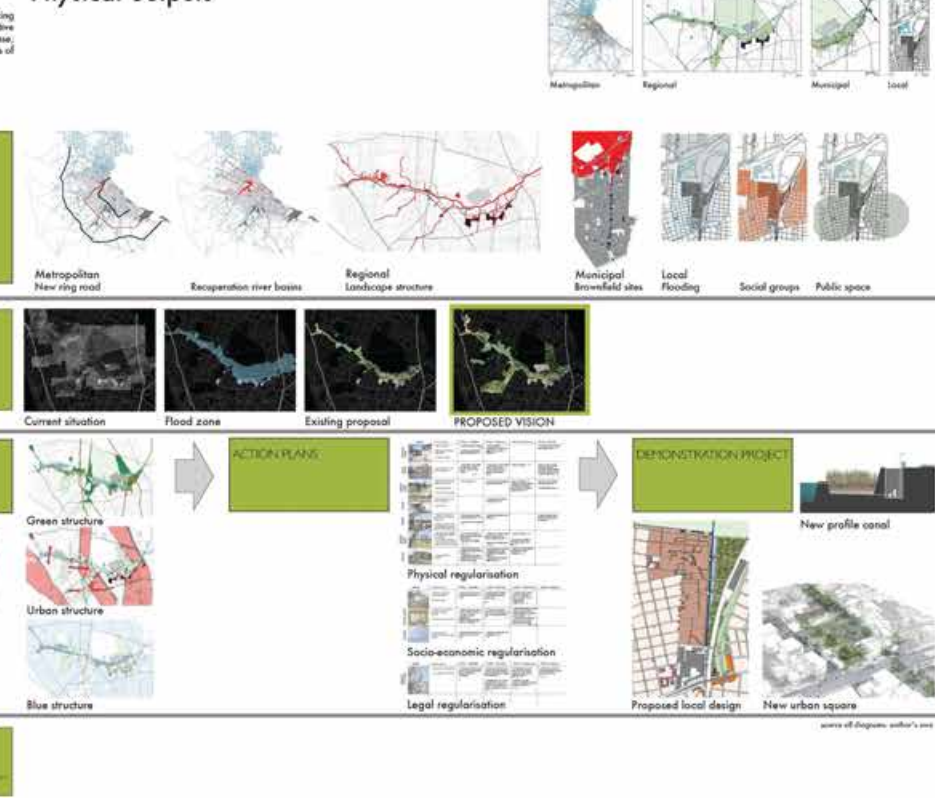


Proposed Planning Framework

The following steps have been identified as being important in the participatory urban decision-making process. They are divided into four phases: a Preparatory and Stakeholder Mobilisation phase; a Collective Vision Development and Stakeholder Commitment phase; a Strategy Formulation and Implementation phase; and finally a Monitoring, Evaluation and Consolidation phase. For each of the different steps the outputs of these steps as applied to Barrio Independencia informal settlement are provided.

PHASE	STEPS	OUTPUT
PHASE 1 PROBLEM STATE AND STANDARD FORMULATION	<ul style="list-style-type: none"> 1. IDENTIFY PROBLEM STATE 2. IDENTIFY STANDARD 3. IDENTIFY PROBLEM STATE 4. IDENTIFY PROBLEM STATE 5. IDENTIFY PROBLEM STATE 6. IDENTIFY PROBLEM STATE 	DIAGNOSIS
PHASE 2 COLLECTIVE VISION DEVELOPMENT AND STAKEHOLDER COMMITMENT	<ul style="list-style-type: none"> 1. IDENTIFY PROBLEM STATE 2. IDENTIFY PROBLEM STATE 3. IDENTIFY PROBLEM STATE 4. IDENTIFY PROBLEM STATE 5. IDENTIFY PROBLEM STATE 6. IDENTIFY PROBLEM STATE 	COLLECTIVE VISION + URBAN FACT
PHASE 3 STRATEGY FORMULATION AND IMPLEMENTATION	<ul style="list-style-type: none"> 1. IDENTIFY PROBLEM STATE 2. IDENTIFY PROBLEM STATE 3. IDENTIFY PROBLEM STATE 4. IDENTIFY PROBLEM STATE 5. IDENTIFY PROBLEM STATE 6. IDENTIFY PROBLEM STATE 7. IDENTIFY PROBLEM STATE 8. IDENTIFY PROBLEM STATE 9. IDENTIFY PROBLEM STATE 10. IDENTIFY PROBLEM STATE 	STRATEGY  Detailed strategy (municipal scale)
PHASE 4 PERFORMANCE EVALUATION AND CONSEQUENCE	<ul style="list-style-type: none"> 1. IDENTIFY PROBLEM STATE 2. IDENTIFY PROBLEM STATE 3. IDENTIFY PROBLEM STATE 4. IDENTIFY PROBLEM STATE 5. IDENTIFY PROBLEM STATE 6. IDENTIFY PROBLEM STATE 	EVALUATION AND RECOMMENDATION REPORT

Physical outputs



Hanne van den Berg
#4011719
Complex Cities Studio
Department of Urbanism
Delft University of Technology

Member team:
Dr. D.A. Szepietowski
Prof. Dr. H.C. Becker
Dr. E. Mautner

Abstract *Journal of Management Studies* 2012, 45(10): 1039–1054
doi:10.1111/j.1365-3113.2012.00531.x

Projects



- Integrating the informal: A valuable contracts: Paraisopolis: Slum upgrading strategy
- Master thesis
- Author: Belinda van Zijl,
- Mentor: R. Rocco



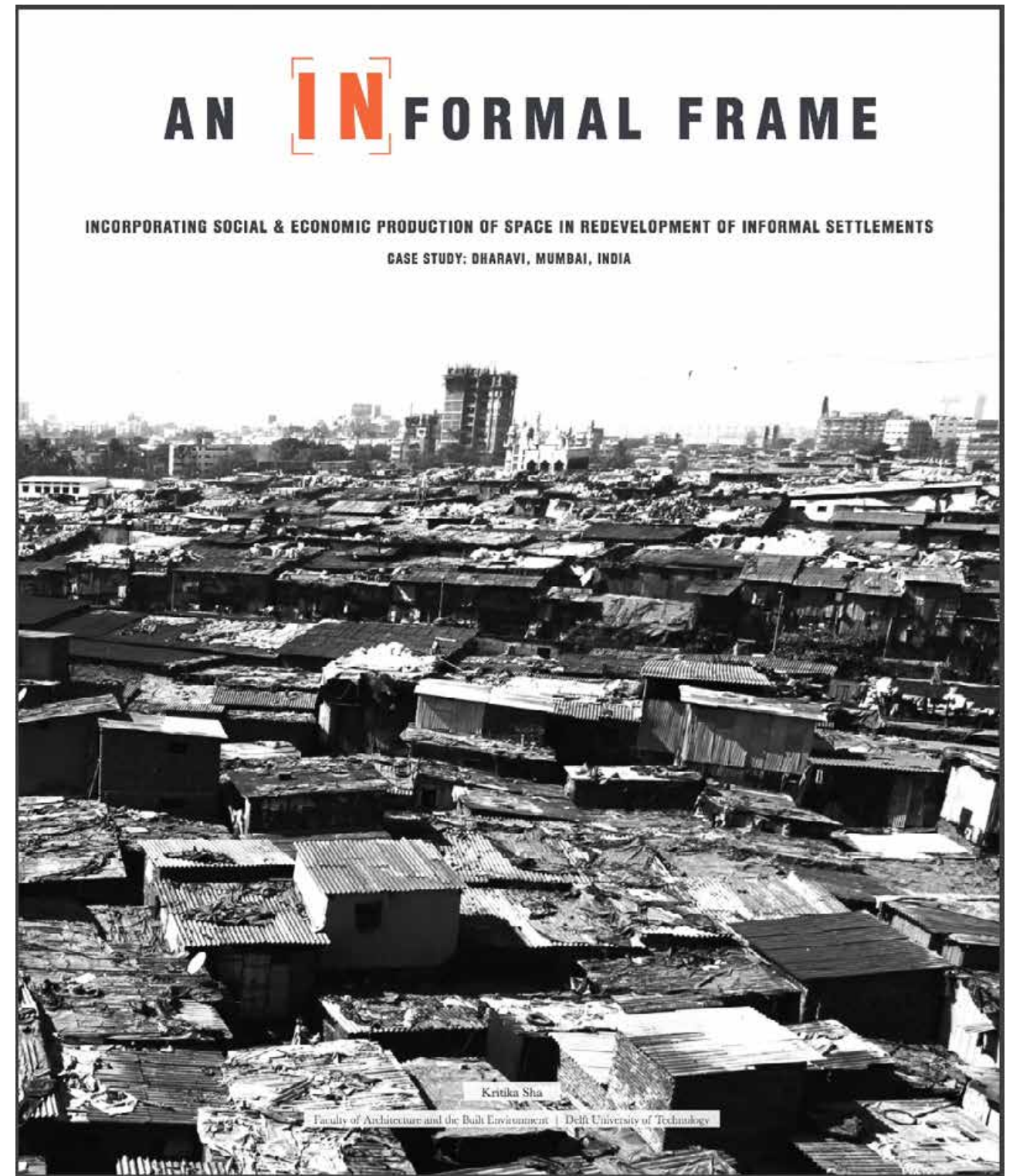
Connected São Paulo: Experimenting Participation in Urban Development, Author: Nina Dalla Bernardina (Brazil). Keywords: collective, collaboration, new economy, network system, self-organization. Developed in the Complex cities: Inclusive Cities Graduation Lab. Role: First mentor.



Map 19. Specific location: a cohesive socio-morphological urban structure. Source: Authors own.

Projects

- Incorporating social & economic production of space in redevelopment of informal settlements:
- Kritika Sha (India)
- Keywords: Informal settlements, economic network, social ties, inclusive and sustainable redevelopment,
- First mentor: Roberto Rocco
- Second mentor: Arie Romein



Projects

- **Connected São Paulo:
Experimenting Participation
in Urban Development,**
- **Author: Nina Dalla
Bernardina (Brazil).**
- **Keywords: collective,
collaboration, new economy,
network system, self-
organization.**
- **First mentor: Roberto Rocco**
- **Second mentor: Egbert Stolk**

LGBT Community

WHAT IS IMPORTANT TO KNOW

Everyday LGBTs gather in the area at night. On Sundays the 'movement' starts at 15:00.

The municipality has a specific project for the LGBT community at Largo do Arouche. The project has three phases: short, medium and long term. So far they complete the first, which includes taking care of the grass at the square, provide public furniture, clean the statues, etc. The next steps do not have a project so far, but should have a more permanent characteristic.

Last year it was opened the center for LGBT citizenship. The place serves the community in cases of homophobia providing assistance from psychologists, lawyers, human rights defenders, social workers, etc.

The República Metro station, nearby hosts the Diversity Museum, a place to exhibit themes related to sexual diversity.

COMPLAINTS

The use of the square as toilet by homeless and drug users.

Insecurity/ Homophobia: recently there were many aggression cases against gays (the center for LGBT citizenship was placed there also to help solving this issue).

The police car place in the region 24h/7 doesn't really help taking care of the square or the people.

WISHES

Safety. Combating homo, trans and lesbophobia. A matter that according to them runs through education, health, visibility and public security.

To occupy the 'square' for diverse leisure activities: "occupy and live the public space"



Social disparity across the fence. [Source: <http://www.mises.org.br/Article.aspx?id=1892>]



**Thanks for watching &
listening!**

Should you have any doubts, please contact

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